



City of Edmonton Office of the City Auditor

Recommendation Follow-up Dashboard Report

As At May 31, 2019

There are 29 outstanding recommendations: 21 are not yet due and 8 are overdue.



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Closed Recommendations

In the period since our last report (March 29, 2019) the administration **closed three recommendations**

Project Name	Department	Recommendation
1. Waste Services Audit	City Operations	Rec 1 - Performance Management Framework Implementation
2. Waste Services Audit	City Operations	Rec 2 - Operational Performance Reporting
3. Employee Business Expense Audit	Financial and Corporate Services	Rec 4 - Examine Improvement Opportunities



Overdue Recommendations

There are eight overdue recommendations.

More than one year overdue:

Project Name	Department	Recommendation	Months Overdue	Revised Due Date
1. Bus and LRT Review	City Operations	Rec 3 - Transit Funding and Fares	17	12/31/2019

Less than one year overdue:

Project Name	Department	Recommendation	Months Overdue	Revised Due Date
2. Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 1 - Assess Patrol Staffing Models	5	12/31/2020
3. Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 2 - Improve Patrol Staffing Model Data Reliability and Accuracy	5	3/31/2019
4. 2017 Municipal Election	Office of the City Clerk	Rec 2 - Voting Station Oversight	5	12/31/2019
5. Waste Services Audit	City Operations	Rec 8 - Preventative Maintenance Processes	5	6/30/2019
6. Waste Services Audit	City Operations	Rec 4 - Strategic Update	3	8/15/2019
7. Africa Centre Governance and Management Review	Africa Centre Board of Directors	Rec 1 - Improve Governance Structure and Processes	1	4/30/2019
8. Africa Centre Governance and Management Review	Africa Centre Board of Directors	Rec 3 - Implement a Collaborative Budgeting Process	1	4/30/2019

Recommendations Not Yet Due

There are 21 recommendations that are **not yet due**.

Project Name	Department	Recommendation	Months Until Due	Due Date
1. City Pesticide Use Audit	City Operations	Rec 1 - Integrated Pest Management Policy Update	1	6/30/2019
2. Investment Policy Review	Financial and Corporate Services	Rec 1 - Revise Investment Policies	1	6/30/2019
3. Affordable Housing Strategies Review	Citizens Services	Rec 5 - Update Policies	1	6/30/2019
4. Consultant Services Review	Financial and Corporate Services	Rec 1 - Training and Quality Assurance	1	6/30/2019
5. Consultant Services Review	Financial and Corporate Services	Rec 2 - Reducing Unplanned Change Orders	1	6/30/2019
6. Africa Centre Governance and Management Review	Africa Centre Board of Directors	Rec 2 - Update Governing Documents	1	6/30/2019
7. Accounts Payable Audit	Financial and Corporate Services	Rec 3 - Implement Process to Update Kofax and Provide More Training	1	6/30/2019
8. Touch the Water Project Audit	Integrated Infrastructure Services	Rec 1 - Ensure Council is Informed on Project Delays Impacting Grant Funding	1	6/30/2019
9. Accounts Payable Audit	Financial and Corporate Services	Rec 4 - Develop Performance Measures	4	9/30/2019
10. Community Projects in Neighbourhood Parks Process Audit	Integrated Infrastructure Services	Rec 2 - Develop a Performance Management Framework	7	12/31/2019
11. Community Projects in Neighbourhood Parks Process Audit	Integrated Infrastructure Services	Rec 3 - Improve Program	7	12/31/2019
12. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 3 - Review and Assess Service Level Performance	7	12/31/2019

Project Name	Department	Recommendation	Months Until Due	Due Date
13. Accounts Payable Audit	Financial and Corporate Services	Rec 2 - Improve Controls over Accounts Payable System	7	12/31/2019
14. Parks and Roads Service Yard Operations Audit	City Operations	Rec 2 - Clarify Authority and Processes	9	2/28/2020
15. Parks and Roads Service Yard Operations Audit	City Operations	Rec 5 - Assess Security Risks and Implement Security Practices	9	2/28/2020
16. Accounts Payable Audit	Financial and Corporate Services	Rec 1 - Improve Controls over Receiving Non-Purchase Order Transactions	10	3/31/2020
17. Parks and Roads Service Yard Operations Audit	City Operations	Rec 3 - Develop a Standard and Prioritize Improvements	17	10/30/2020
18. Parks and Roads Service Yard Operations Audit	City Operations	Rec 4 - Review and Optimize Existing Yard Space	17	10/30/2020
19. 2017 Municipal Election	Office of the City Clerk	Rec 1 - Information Technology Support	19	12/31/2020
20. Parks and Roads Service Yard Operations Audit	City Operations	Rec 1 – Develop a Plan for Yards	25	6/30/2021
21. Information Technology – Disaster Recovery Planning	Financial and Corporate Services	Rec 1 - Fully Implement the Disaster Recovery Program	32	12/31/2021

This project was conducted in accordance with the *International Standards for the Professional Practice of Internal Auditing*