



Office of the City Auditor

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Office of the City Auditor

Recommendation Follow-up

Dashboard Report

As at June 19, 2017

The Office of the City Auditor conducted this
project in accordance with the
*International Standards for the
Professional Practice of Internal Auditing*

Office of the City Auditor Recommendation Follow-up Dashboard Report

As at June 19, 2017

As at June 19, 2017 the administration has 27 recommendations from the Office of the City Auditor that are outstanding. Based on the implementation dates provided by the administration, all of these recommendations are not yet due.

In the period since our last report (March 31, 2017) Administration has closed the following 7 recommendations:

*Seven
recommendations
closed*

Project # and Name	Department	Recommendation
16405 - Use of Sole Source Procurement Audit	Financial and Corporate Services	Rec 4 - Strategic Sourcing Opportunity
15399 - Change Order Process	Financial and Corporate Services	Rec 1 - Change Order Process
16405 - Use of Sole Source Procurement Audit	Financial and Corporate Services	Rec 2 - Training of Departmental and CPSS Staff
16404 - Utilities Infrastructure Audit	Integrated Infrastructure Services	Rec 2 - Performance Measures
15387 - Traffic Shortcutting	Sustainable Development	Rec 1 - Traffic Management Policy
16405 - Use of Sole Source Procurement Audit	Financial and Corporate Services	Rec 1 - Support of MWPTA and Sole/Single Source
16405 - Use of Sole Source Procurement Audit	Financial and Corporate Services	Rec 3 - Revise Administrative Directive

The following tables contain the outstanding recommendations listed in the following categories:

Colour	Category	# of Recommendations
Red	More than one year overdue	0
Yellow	Less than one year overdue	0
Green	Not yet due	27

Twenty seven
recommendations
not yet due

Recommendations not due					
Project # and Name	Department	Recommendation	Months Until Due	Due Date	
1. 15391 - Bus and LRT Review	City Operations	Rec 1 - Transit Strategic Plan	0	6/30/2017	
2. 16406 - Sand Recycling Audit	City Operations	Rec 1 - Winter Street Sand Recycling and Mixing Program Review	0	6/30/2017	
3. 16412 - Employee Business Expense Audit	Financial and Corporate Services	Rec 1 - Review, Update, and Enforce Directive and Procedures	0	6/30/2017	
4. 16412 - Employee Business Expense Audit	Financial and Corporate Services	Rec 2 - Travel Coordinator Use and Training	0	6/30/2017	
5. 11336 - 2013 Municipal Election	Office of the City Clerk	Rec 2 - Logic and Accuracy Testing	1	7/31/2017	
6. 11336 - 2013 Municipal Election	Office of the City Clerk	Rec 3 - Worker Comprehension	1	7/31/2017	
7. 11336 - 2013 Municipal Election	Office of the City Clerk	Rec 5 - Ballot Design	1	7/31/2017	
8. 16412 - Employee Business Expense Audit	Financial and Corporate Services	Rec 3 - Track Employee Travel Corporately	3	9/30/2017	
9. 16413 - Facade Improvement and Development Incentive Programs Review	Sustainable Development	Rec 1 - Façade Improvement Program Policy and Development Incentive Program Policy Improvements	3	9/30/2017	
10. 16413 - Facade Improvement and Development Incentive Programs Review	Sustainable Development	Rec 2 - Improve Grant Program Monitoring	3	9/30/2017	
11. 16413 - Facade Improvement and Development Incentive Programs Review	Sustainable Development	Rec 3 - Compliance with Policies and Procedures	3	9/30/2017	
12. 16415 - POSSE System Review	Sustainable Development	Rec 1 - Clarify and ensure consistency of governing documents	3	9/30/2017	
13. 16415 - POSSE System Review	Sustainable Development	Rec 3 - Enhance communication about key processes	3	9/30/2017	

Twenty seven
recommendations
not yet due

Recommendations not due					
Project # and Name	Department	Recommendation	Months Until Due	Due Date	
14. 16415 - POSSE System Review	Sustainable Development	Rec 4 - Improve efficiency of key processes	3	9/30/2017	
15. 16406 - Sand Recycling Audit	City Operations	Rec 2 - Performance Management System	4	10/31/2017	
16. 16406 - Sand Recycling Audit	City Operations	Rec 3 - Quality Assurance on Contract Content	4	10/31/2017	
17. 16406 - Sand Recycling Audit	City Operations	Rec 4 - Program Management and Oversight	4	10/31/2017	
18. 15391 - Bus and LRT Review	City Operations	Rec 3 - Transit Funding and Fares	6	12/30/2017	
19. 15396 - Neighbourhood Renewal	Integrated Infrastructure Services	Rec 3 - Public Communications Improvements	6	12/31/2017	
20. 16404 - Utilities Infrastructure Audit	Integrated Infrastructure Services	Rec 1 - Overtime Use and Monitoring Guidelines	6	12/31/2017	
21. 16415 - POSSE System Review	Sustainable Development	Rec 2 - Determine the Value and Total Cost of POSSE	8	2/28/2018	
22. 16412 - Employee Business Expense Audit	Financial and Corporate Services	Rec 4 - Examine Improvement Opportunities	12	6/30/2018	
23. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 1 - Assess patrol staffing models	18	12/31/2018	
24. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 2 - Improve patrol staffing model data reliability and accuracy	18	12/31/2018	
25. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 3 - Reduce patrol constable self-deployment	18	12/31/2018	
26. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 4 - Investigative Support Bureau staffing process	18	12/31/2018	
27. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 5 - Funding Formula Clarity	18	12/31/2018	