



City of Edmonton Office of the City Auditor

Recommendation Follow-up Dashboard Report

As At August 20, 2019

There are 30 outstanding recommendations: 21 are not yet due and 9 are overdue.



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Closed Recommendations

In the period since our last report (May 31, 2019) the administration **closed nine recommendations**

Project Name	Department	Recommendation
1. Affordable Housing Strategic Review	Citizen Services	Rec 5 – Update Policies
2. Africa Centre Governance and Management Review	Africa Centre Board of Directors	Rec 3 – Implement a Collaborative Budgeting Process
3. Africa Centre Governance and Management Review	Africa Centre Board of Directors	Rec 1 – Improve Governance Structure and Processes
4. Continuous Auditing – Employee Master Data and Payroll Transactions	Employee Services	Rec 1 – Update Dependent Eligibility Process Documentation
5. Continuous Auditing – Employee Master Data and Payroll Transactions	Employee Services	Rec 2 – Restrict Super User Access
6. Investment Policy Review	Financial and Corporate Services	Rec 1 – Revise Investment Policies
7. Touch the Water Project Audit	Integrated Infrastructure Services	Rec 1 – Ensure Council is Informed on Project Delays Impacting Grand Funding
8. Ukrainian Canadian Archives and Museum of Alberta Investigation	Urban Form and Corporate Strategic Development	Rec 2 – Notify the Provincial and Federal levels of Government
9. Consultant Services Review	Financial and Corporate Services	Rec 2 - Reducing Unplanned Change Orders



Overdue Recommendations




There are nine overdue recommendations.

More than one year overdue:

Project Name	Department	Recommendation	Months Overdue	Revised Due Date
1. Bus and LRT Review	City Operations	Rec 3 - Transit Funding and Fares	20	12/31/2019

Less than one year overdue:

Project Name	Department	Recommendation	Months Overdue	Revised Due Date
2. Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 1 - Assess Patrol Staffing Models	8	12/31/2020
3. Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 2 - Improve Patrol Staffing Model Data Reliability and Accuracy	8	3/31/2019
4. 2017 Municipal Election	Office of the City Clerk	Rec 2 - Voting Station Oversight	8	10/31/2019
5. Waste Services Audit	City Operations	Rec 8 - Preventative Maintenance Processes	8	9/30/2019
6. Waste Services Audit	City Operations	Rec 4 - Strategic Update	6	11/29/2019
7. Consultant Services Review	Financial and Corporate Services	Rec 1 - Training and Quality Assurance	2	6/30/2019
8. Africa Centre Governance and Management Review	Africa Centre Board of Directors	Rec 2 - Update Governing Documents	2	12/31/2019
9. Accounts Payable Audit	Financial and Corporate Services	Rec 3 - Implement Process to Update Kofax and Provide More Training	2	6/30/2019



Recommendations Not Yet Due

There are 21 recommendations that are **not yet due**.

Project Name	Department	Recommendation	Months Until Due	Due Date
1. Accounts Payable Audit	Financial and Corporate Services	Rec 4 - Develop Performance Measures	1	9/30/2019
2. Residential Infill Audit	Financial and Corporate Services	Rec 1 – Quarterly Reporting	1	9/30/2019
3. Community Projects in Neighbourhood Parks Process Audit	Citizen Services	Rec 2 - Develop a Performance Management Framework	4	12/31/2019
4. Community Projects in Neighbourhood Parks Process Audit	Citizen Services	Rec 3 - Improve Program	4	12/31/2019
5. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 3 - Review and Assess Service Level Performance	4	12/31/2019
6. Accounts Payable Audit	Financial and Corporate Services	Rec 2 - Improve Controls over Accounts Payable System	4	12/31/2019
7. Parks and Roads Service Yard Operations Audit	City Operations	Rec 2 - Clarify Authority and Processes	6	2/28/2020
8. Parks and Roads Service Yard Operations Audit	City Operations	Rec 5 - Assess Security Risks and Implement Security Practices	6	2/28/2020
9. Accounts Payable Audit	Financial and Corporate Services	Rec 1 - Improve Controls over Receiving Non-Purchase Order Transactions	7	3/31/2020
10. Residential Infill Audit	Financial and Corporate Services	Rec 2 – Development Permit Review	7	3/31/2020
11. Ukrainian Canadian Archives and Museum of Alberta Investigation	Urban Form and Corporate Strategic Development	Rec 1 – Clear Funding Agreement Terms and Conditions	7	3/31/2020
12. Parks and Roads Service Yard Operations Audit	City Operations	Rec 3 - Develop a Standard and Prioritize Improvements	15	10/30/2020

Project Name	Department	Recommendation	Months Until Due	Due Date
13. Parks and Roads Service Yard Operations Audit	City Operations	Rec 4 - Review and Optimize Existing Yard Space	15	10/30/2020
14. Emergency Management Governance and Risk Assessment Audit	Citizen Services	Rec 1 – Strategic Plan and Performance Management Framework	16	12/20/2020
15. Emergency Management Governance and Risk Assessment Audit	Citizen Services	Rec 2 – Update Governing Documents	16	12/20/2020
16. Emergency Management Governance and Risk Assessment Audit	Citizen Services	Rec 3 – Hazard Identification and Risk Assessment	16	12/20/2020
17. 2017 Municipal Election	Office of the City Clerk	Rec 1 - Information Technology Support	17	12/31/2020
18. Residential Infill Audit	Financial and Corporate Services	Rec 3 – Zoning Bylaw Updates	17	12/31/2020
19. Residential Infill Audit	Financial and Corporate Services	Rec 4 – Use of Term “Infill”	17	12/31/2020
20. Parks and Roads Service Yard Operations Audit	City Operations	Rec 1 – Develop a Plan for Yards	23	6/30/2021
21. Information Technology – Disaster Recovery Planning	Financial and Corporate Services	Rec 1 - Fully Implement the Disaster Recovery Program	29	12/31/2021

This project was conducted in accordance with the *International Standards for the Professional Practice of Internal Auditing*